# BIOMECHANICS OF THE SPINE 

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## What is biomechanics ?

- In the context of the spine:
"Biomechanics is the study of the consequences of application of external force on the spine "


## Motion segment

- In the biomechanical context, the spine is treated as consisting of motion segments.
- Concept allows the laboratory study of biomechanics of the spine in vitro
- Assuming that behaviour of spinal column can be deduced from summing the behaviour of motion segments is fallacious


## The vertebral column: Basic anatomy

- 33 vertebrae ( 7 cervical, 12 thoracic, 5 lumbar, 5 sacral and 4 coccygeal)
- A typical vertebra consists of a cylindrical body and a dorsal arch
- The dorsal arch consists of pedicle, lamina, pars interarticularis and spinous process.
- 2 primary curvatures ( thoracic and lumbosacral kyphosis)
- 2 secondary curvatures (cervical and lumbar lordosis)
- Curvatures maintained by variation in the intervertebral disc heights and vertebral body dimensions.
- Center of gravity of the spinal column passes from the dens of the axis to the promontory of the sacrum.


# Regional characteristics of the vertebral column 

## The cervical column

- Cervical vertebrae smaller
- Lamina narrow and overlap
- The pars interarticularis in the cervical spine have been termed the lateral masses
- The superior and inferior facets extend from the pars


- The cervical facets from $\mathrm{C} 2-3$ to C6-7 are oriented approximately at 45 degrees with respect to the horizontal
- Coronal orientation of the facets.


## Thoracic spine

- Thoracic vertebra are somewhat heart shaped
- Uniquely, they possess costal facets at the junction of the body and transverse process for articulation with ribs
- Transitional features : upper thoracic (T14) resemble cervical, lower (T9-12) resemble lumbar.
- Spinous processes of T1, T2 , T11 and T12 are horizontal
- T3, T4 and T9, T10 are oblique
- T5 -T8 spinous processes overlap considerably and are long and vertical
- The thoracic facets are oriented along a coronal plane
- At the thoraces-lumbar junction there is change to assume a more sagittal orientation



## Lumbar spine

- Lumbar vertebral bodies are the largest and typically increase in diameter as one descends
- The bodies of L1-2 vertebra are deeper dorsally, that of L4-5 deeper ventrally while L3 is transitional
- Fifth lumbar vertebra represents the transition from lumbar to sacral spine
- L5 body is taller ventrally contributing to the lumbosacral angle
- The lumbar articular facets are oriented obliquely in the sagittal plane limiting axial rotation of the spine.


## Sacrum and Coccyx

- Sacrum is triangular, concave and having a relatively smooth pelvic surface.
- Dorsal surface formed by the fusion of costal ligaments and transverse processes of sacral vertebral elements.
- The fused bodies are demarcated by transverse lines that end laterally in four pair of ventral sacral foramina.


## Intervertebral discs

- 23 Interverbral discs are interposed between the vertebral bodies.
- Most rostral- C2-3 disc and distally L5- S1 disc.
- They account for one third to one fifth of the height of vertbral column

- Four concentrically arranged components - Outer alternating layer of collagen fibres forming the peripheral rim of annulus fibrosus
- Fibrocartilage component that forms a major portion of the annulus
- Transitional region: annulus and nucleus merge
- Central nucleus pulposus (NP) : Mucoprotein gel
- Age related disc changes :
- loss of water content of NP and height
- Number and size of collagen fibres decreases
- Structural organisation of the discs permits them to tolerate compression, shear,torsion and bending forces
- During axial loading stress causing failure, the first component to fail is the vertebral end plate, due to herniation of the nucleus pulposus into the end plate.


## Ligaments

- The longitudinal ligaments
- Anterior
- Posterior
- Ligamentum flavum
- Supraspinous ligament
- Interspinous ligament
- Intertransverse ligament
- Capsular ligaments
- Anterior longitudinal
- From occiput to sacrum covering a fourth to third of the ventral circumference of vertebral bodies.
- Consisting of three layers
- Deepest layer binds the edges of disc extending between adjacent vertebrae.
- Middle layer binds vertebral bodies and disc over three levels
- Superficial layer extends over four or five levels
- High collagen content preventing hyperextension and over distraction
- Posterior longitudinal ligament
- Begins at C2 as the tectorial membrane and extends upto sacrum
- Fibres spead out at the disc level and narrow in the middle of vertebral body
- The ligament is much thinner over the vertebral body than over the disc
- Multilayered, maximum thickness in thoracic region
- Ligamentum flavum
- Yellow ligament (flava= yellow)
- High elastin content, one of the most elastic tissues in body.
- Broad paired ligaments which connect the lamina of adjacent vertebrae
- Extend from C1-2 level to L5-S1
- Arise from ventral surface of caudal lamina and attach to dorsal border of adjacent rostral lamina.
- High elasticity, assume their original size once a flexed spine straightens or extends
- Loose their elasticity with age, impinge upon the dura when slack.
- Capsular ligaments
- Attach to vertebra adjacent to articular joints.
- They are perpendicular to the plane of facets
- Primarily prevent distraction of the joint.
- Intertransverse ligaments
- Seen only in thoracic and upper lumbar spine.
- They pass between the transverse processes and attach to the deep muscles of the back.
- Interspinous and supraspinous ligaments
- Interspinous attach from base to tip of each spinous process
- Supraspinous attach at the tips of spinous processes
- Ligament is weakest in cervical region and becomes progressively stronger caudally

Spinal canal dimensions in relation to the vertebral level


- Force deformation characteristics
- Stiffness is the ability to resist deformation ( $\Delta$ force/ $\Delta$ deformation)
- Flexibility is inverse of stiffness.


## Spinal motion

- Degrees of freedom is a useful concept in the description
- No of unique independent motion one vertebra can have with respect to another.
- Six degrees of freedom
- Three translational
- Three rotational, along three axes
- Significance of facet joint orientation
- In cervical spine, facets are oriented 45 degree to horizontal, almost in the coronal plane
- In thoracic spine, the orientation is intermediate allowing axial rotation
- In the lumbar spine, rotation is prevented by relatively sagittal orientation of the facets while flexion and extension is free
- Coupling
- Defined as obligatory movements of the spine (translations and rotations) that accompany a primary motion
- Principal motion is defined as the motion produced in the plane of the force
- Any associated out of phase motion is coupled
- Instantaneous axis of rotation
- Defined as the axis perpendicular to the plane of motion and passing through the points within or outside the body which is static during the motion
- Example, when opening a door, the axis of rotation passes through the hinges


## Functional biomechanics of the spine

## Spinal stability

- Paramount concept
- Ability of spine to maintain its pattern of displacement under physiologic loads without producing-
- Incapacitating pain
- Deformity
- Neurological deficit


## Theories of spine stability

- The two column concept:
- Anterior column : vertebral body, ALL, PLL
- Posterior column : Posterior ligamentous complex (PLC) : Interspinous, supraspinous, ligamentum flavum and apophyseal joints
- Advanced by Holdworth
- Stressed upon the integrity of posterior ligamentous complex in maintaining stability.
- Unstable fractures involved disrupted PLC and one component of anterior Column.
- Three column concept:
- Better agreement with clinical observations regarding spine stability
- Anterior column : Anterior wall of vertebral body, ALL and anterior annulus
- Middle column : PLL, posterior annulus fibrosus, posterior wall of vertebral body
- Posterior column : posterior ligamentous complex.
- For instability 2 out of the 3 columns must be damaged.
- Jefferson fracture
- Diffuse axial loading of cervical spine
- Bilateral anterior and posterior arch fractures
- Biomechanically, stable till the lateral mass displacement more than 5 mm , implying transverse ligament disruption.
- Fracture of the dens
- Type1- avulsion injury of the dens. Stable
- Type 2- dens fractured along the base due to flexion/ extension injury. Unstable, because dislocation may increase
- Type 3 : produced by flexion or compression forces or both.


## Lower cervical spine

- Burst fractures
- Disruption of the body and intervertebral discs
- Direct axial loading of the spine
- Theoretically should be stable as the PLC is intact
- But, mostly associated with PLL damage and disc injury making it unstable
- Biomechanically, only anterior decompression and fusion is not appropriate as it disrupts viable ALL and PLL
- Circumferential stabilization may be indicated


## Thoracic spine

- Relatively narrow spinal canal
- Restriction of flexion and extension due to articulation with fixed ribs T1-T9
- Axial rotation tolerated
- 3 degree per level flexion and extension between T1 and T5. Increases progressively downwards.
- Lateral bending limited in the entire extent of the fixed ribs, increasing below that.


## Thoracic spine injuries

- Mostly caused by flexion compression forces
- The bending moment developed at the vertebra in question is dependent on the length of the column and distance between the line of gravity and the centrum


## Distance <br> from centrum



## Gravitational Ioad

Bending moment $=$ Load $\times$ distance

- In flexion compression injury,
- distraction and damage of posterior ligament complex may be more pronounced
- Thus these injuries are more unstable than burst fractures.
- The flexion bending moment produced naturally in the lower thoracic spine (T10-12) by anatomic factors
- Termination of rib cage
- Normal thoracic kyphosis
- Orientation of the facets


## Thank you

