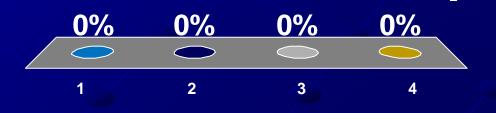
## Lumbar Spondylolisthesis

Moderators – Dr S.S. Kale Dr Deepak Agrawal

Presentation by – Dr Vipin K. Gupta

#### Q1. Spondylolysis is

- 1. Unilateral defect in pars interarticularis without vertebral slippage
- 2. Bilateral defect in pars interarticularis without vertebral slippage
- 3. Unilateral defect in pars interarticularis with vertebral slippage
- 4. Biilateral defect in pars interarticularis with vertebral slippage



#### Q2. Spondyloptosis is

- Slippage of the L5 vertebra in which the entire vertebral body of L5 is located below the top of S1
- 2. Slippage of the L5 vertebra in which 75% of the vertebral body of L5 is located below the top of S1
- 3. Slippage of the L5 vertebra in which 50% of the vertebral body of L5 is located below the top of S1
- A Slippage of the L5 vertebra in which 25% of the vertebral body of L5 is located below the top of S1

0%

1

0%

2

0%

3

0%

4

#### Q3. Dysplastic type of Spondylolisthesis is

0%

 $\bigcirc$ 

1

0%

2

0%

3

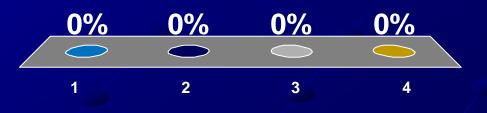
0%

4

- 1. Acquired
- 2. Traumatic
- 3. Pathologic
- 4. Developmental

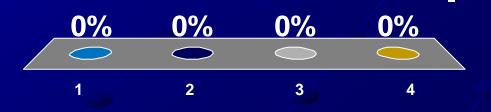
#### Q4. The incidence of Spondylolisthesis is approximately

- 1. 1% in all adults
- 2. 3% in all adults
- 3. 6% in all adults and constant
- 8% in all adults and increases by 1% for every decade of life



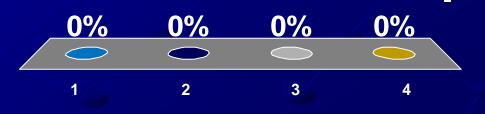
# Q5. The "Scottie dog" appearance of posterior spinal elements on X-ray in Spondylolysis is best seen in

- 1. AP view
- 2. Lateral view standing
- 3. Oblique view
- 4. Lateral view supine



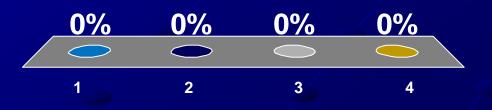
# Q6. The options for treatment of Spondylolysis in children are

- 1. Intertransverse(Lateral) fusion
- 2. Direct repair of pars interarticularis
- 3. Non-operative treatment
- 4. All of the above



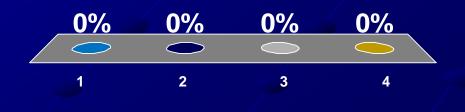
#### Q7. Best fusion rates are achieved in listhesis with

- 1. Posterior-lateral fusion without implants
- 2. Posterior-lateral fusion with implants
- 3. Circumferential fusions(including Interbody fusions)
- 4. No difference in fusion rates in all procedures



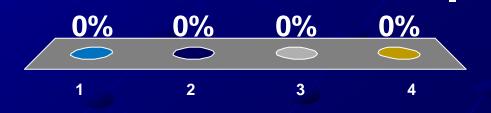
#### Q8. Degenerative listhesis occurs most frequently at

- 1. L5-S1
- 2. **L4-L5**
- з. **L3-L4**
- 4. L4-L5 and L5-S1 occur with the same frequency



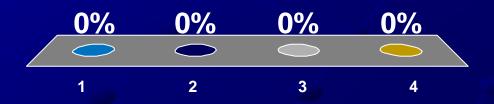
Q9. Non-spinal disorders which can mimic the signs and symptoms of degenerative listhesis are all except

- 1. Degenerative arthritis of Unilateral Hip joint
- 2. Degenerative arthritis of Bilateral Hip joint
- 3. Peripheral vascular disease
- 4. All of the above



Q10. In a patient with degenerative Grade II L4/L5 listhesis with persistent leg pain, progressive neurological deficit, and significant reduction in quality of life, the treatment of choice is

- 1. Decompression
- 2. Decompression and posterior fusion without instrumentation
- 3. Decompression and posterior fusion with instrumentation
- 4. Decompression and posterior fusion with instrumentation with interbody fusion



### Spondylolisthesis

Anterior subluxation of one vertebral body on another

Usually L5 on S1, occasionally L4 on L5

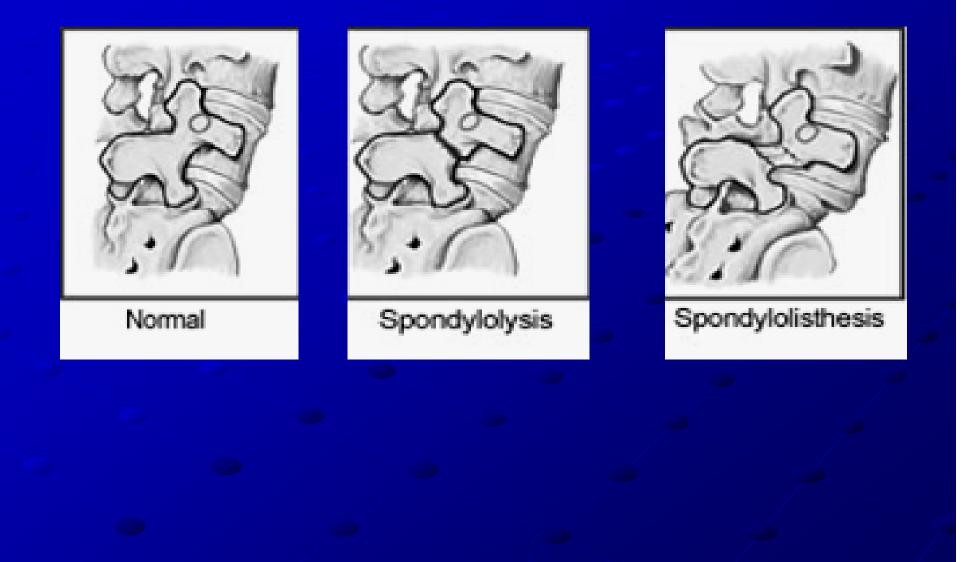
Degenerative: L4 on L5, then L4-3, and L5-S1



### History

Herbineaux, Belgian obstetrician in 1782 Kilian 1854 - Spondylolisthesis spondylos (vertebra) and olisthanein (to slip) 1950, Macnab – spondylolisthesis with an intact neural arch 1955, Newman – degenerative spondylolisthesis 1976, Wiltse et al – classification

### Spondylolysis Vs Spondylolisthesis



### Frequency

Isthmic type in 5% based on autopsy study
Degenerative spondylolisthesis in 5.8% of men and 9.1% of women
Isthmic type most common in males and degenerative most common in females
Racial: spondylolysis seen in up to 50% of Eskimos

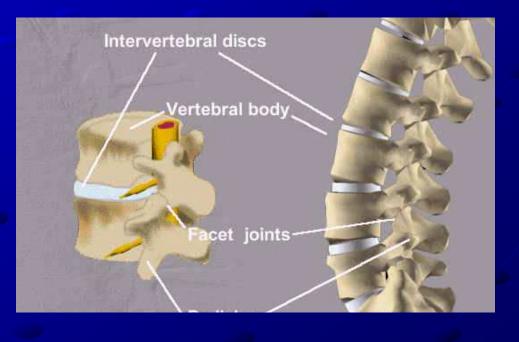
### ANATOMY

Facet joint in coronal plane -

- Inferior articular process (upper vertebra) located posteriorly
- Superior articular process (lower body) located anteriorly.

Prevents forward movement

Locks in the superior vertebra relative to the inferior vertebra

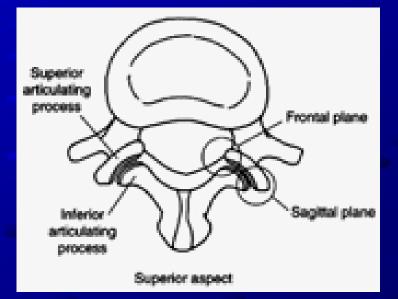


### Anatomy

#### Articular Processes

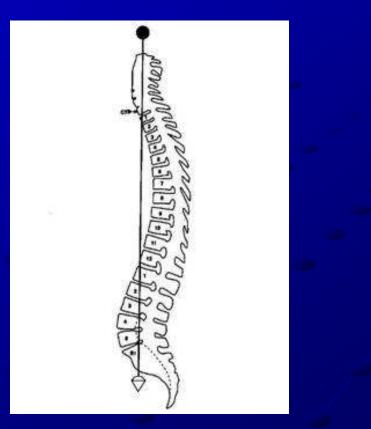
Orientation of articular processes critical for spinal motion

- Lumbar facets are biplanar
  - General orientation is 45 deg from sagittal or frontal plane
  - 90 deg from transverse plane
- Anterior aspect in frontal plane
  - Resists anterior shear
- Posterior aspect in sagittal plane
  - Resists rotation



Center of gravity of the human body is anterior to the spine exerts a forward slipping force on the spine, especially at the L5-S1 level

- Anteriorly located center of gravity causes a rotating movement, with the axis of rotation oriented transversely at the L5-S1 level
  - In severe spondylolisthesis, a kyphotic deformity also develops



#### **Resistance to Listhesis**

- Pair of pars joints
- Intact posterior neural arch
- Pedicle
- Normal bone resilience preventing stretch of the pedicle
- Intervertebral disc binding the vertebral bodies
- And the ligaments and muscles

### Classification

Wiltse Classification – etiology based

#### Marchetti – Bartolozzi classification

### Wiltse Classification

I. Dysplastic (congenital) II. Isthmic

- A. Lytic-fatigue fracture of the pars.
- B. Elongated but intact pars.
- C. Acute fracture of pars (not to be confused with "traumatic" [see IV]).

III. Degenerative (pseudospondylolisthesis)

- IV. Post Traumatic (fracture of the bony hooks other then pars)
- V. Pathologic

Wiltse, L.L., Newman, P.H., MacNab, Ian: Clin. Ortho. Vol. 117, p. 23, June 1976.

#### Classification based on etiology but it does not predict prognosis and likelihood of progression

### Marchetti – Bartolozzi Classification

Table 1: Marchetti and Bartolozzi classfication for spondy- lolisthesis			
Acquired		Developmental	2
Traumatic		High Dysplastic	
	Acute Fracture	With Lysis	
	Stress Fracture	With Elongation	
Post Surgical		Low Dysplastic	
_	Direct	With Lysis	
	Indirect	With Elongation	
Pathologic		Ũ	
Ū	Local		
	Systemic		
Degenerativ			
J	Primary		
	Secondary		

#### **1.DEVELOPMENTAL (20%) : in children**

- DYSPLASTIC FEATURES PRESENT IN VERTEBRAE
- POST.DEFECT-IN LAMINA, FACET, PARS
- ANT.DEFECT-IN DISC,SACRAL DOME

#### 2.ACQUIRED:

- IN ADULTS
- ARCHITECTURE OF VERTEBRAL ELEMENTS IS NORMAL
- NOT AS PROGRESSIVE AS DYSPLASTIC

#### High Dysplastic

- Significant LS kyphosis
- Trapezoid L5 (wedge L5)
- Hypoplastic transverse process
- Doming of sacrum
- Verticalisation of sacrum
- High chances of progression



#### Low dysplastic (only post. elements dysplastic)

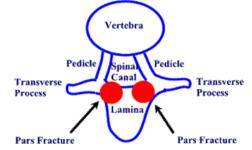
- Normal LS profile
- Rectangular L5
- Flat upper end plate of sacrum
- No significant verticalisation
- Facets axial or sagittal orientation
- A/W Spina bifida

### **Isthmic Spondylolisthesis**

- Most common cause of spondylolisthesis
- Occurs in young people
- Affects pars interarticularis the junction of the pedicle and lamina, where the articular and transverse processes arise
- CAUSE
  - Genetic (54% prevalence in Eskimos and 12% pts has first degree relative )
  - Mechanical High-risk activities include gymnastics (11%), rowing, tennis, wrestling, weightlifting, and football

Isthmic defects are due to successive fatigue

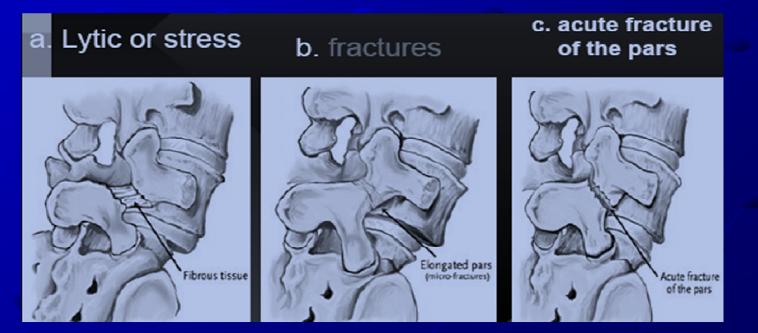
fractures in genetically predisposed individual.



### SUBTYPES OF ISTHMIC

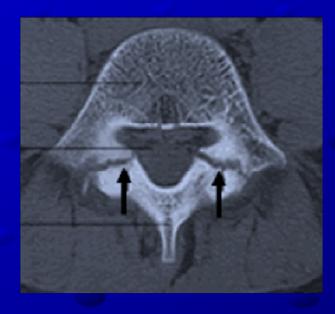
#### Wiltse

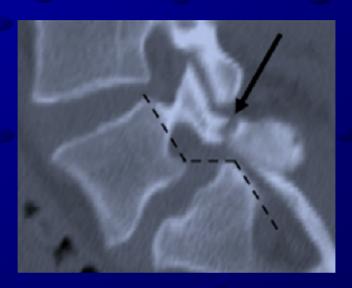
- 1. **Subtype A**: fatigue fracture of pars w/o complete separation of bone
- 2. Subtype B: elongated pars due to recurrent fracture and healing
- 3. Subtype C: acute fracture of pars







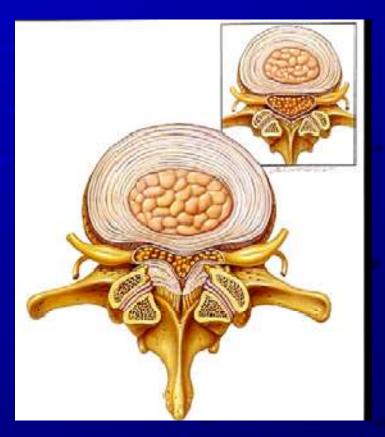




### Pathophysiology

Physiologic response:
 Facet hypertrophy
 Healing around pars

 Cartilage
 Fibrous tissue
 Narrowing canal
 COMPRESSION!!



#### **Degenerative Spondylolisthesis**

- There is no defect in the pars.
- The posterior arch is intact.
- Slip is never great.
- Osteoarthritic changes develop in the facet joints.
- Erosive changes lead to abnormal alignment of the articular surfaces.
- Deficient coronal portions of the facet and narrow lamina
- Abnormalities of the ligamentous structures and loss of disc height.
- Excessive mobility in lumbar motion segment (usually L4/L5) following degenerative changes.

### **Degenerative Spondylolisthesis**

#### Found in :

- 5.8% of men
- 9.1% of women
- 3 times greater in African American women

Most are asymptomatic Greater incidence in females:

- Ligamentous laxity
- Pregnancy
- Less lumbosacral lordosis
- Increased sacralization of L5



Bird HA et al. Is generalized joint laxity a factor in spondylolisthesis? *Scand J Rheumatol* 1980;9:203–5.
7. Sanderson PL, Fraser RD. The influence of pregnancy. *J Bone Joint Surg Br* 1996;78:951–4.

#### Asian population overall incidence is 8.7%

- Single level in 66%
- Multiple levels in 34%
- Anterolisthesis in 70% cases, which were predominant at L4–L5 in women
- Retrolisthesis was found in 30% predominant in L2– L3 and equal in both sexes

Iguchi T, Wakami T, Kurihara A, et al. J Spinal Disord Tech 2002;15:93–9.

#### Primary symptoms are from:

 Lateral recess stenosis – from forward slippage of the inferior articulating process



Nerve root below pedicle of subluxated vertebra is compressed

 Disc herniation – contributes to central stenosis caused by intact neural arch

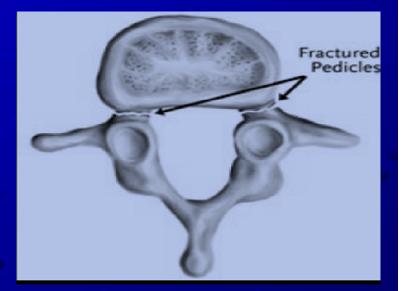


### Traumatic

#### Rare

A/W - major trauma ? hyperextension

- pars is normal
- fractures occur as a result of excessive force
- Fracture of pedicle/facets
- ligament and disc injury
- Clearly defined edges after trauma
- If x ray after trauma show spondylolysis and sclerotic margins than it is old



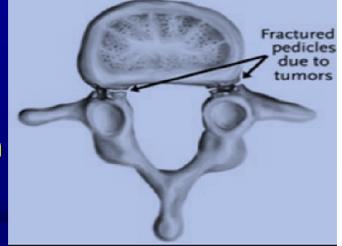
### Pathological

Alteration of bone tissue results in loss of ability of bony hook to maintain alignment

- 2 subtypes:
  - Generalized widespread changes e.g. osteopetrosis, osteomalacia, pagets disease
  - Localized tumors (primary/secondary), infection

Most difficult of all types to treat.

- Treat underlying cause
- Surgical fixation . Difficult to obtain with impaired bony healing



### **Post-Surgical spondylolisthesis**

Damage to facet joint, disc or pars

- Low grade slip but very symptomatic
- Mostly after decompressive laminectomy with partial or complete facetectomy
- After discectomy and partial facetectomy
  - Axial load on facet joint increases
  - Increases translational and rotational movement in sagittal plane

Spondylolysis after fusion at adjacent level or discectomy at adjacent caudal level - spondylolysis acquisita

## **Clinical Presentation**

#### Mechanical Back Pain

- Worse with activity
- Better with rest
- Leg Pain
  - Radicular
  - Neurogenic Claudication
    - Aches, fatigue, tiredness
    - Better with forward flexion
- Bowel, Bladder Function

Degree of vertebral slip does not directly correlate with the amount of pain



#### Symptoms, Signs, and Functional Disability in Adult Spondylolisthesis

Hans Möller, MD,\* Agneta Sundin, RPT,† and Rune Hedlund, MD, PhD\*

SPINE Volume 25, Number 6, pp 683–689 ©2000, Lippincott Williams & Wilkins, Inc.

- Low back pain, and sciatica 62%
- Sciatica only 7%
- Low back pain only 31%
- Most common signs:
  - Positive SLR test, 12%
  - L5 sensory deficit, 13%

## **PRINCIPLES OF MANAGEMENT**

Symptoms are very important as management depends upon these

**Compressive symptoms- decompression** 

Mechanical symptoms – stabilization

### **Examination findings**

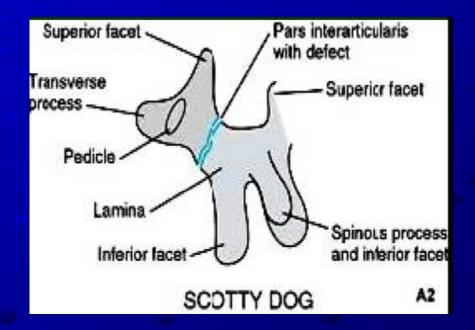
Isthmic and dysplastic type-

- Palpable step-off of lumbar spinous processes.
- In severe cases bodies may be palpable through abdominal wall.
- Exaggerated lumbar lordosis.
- Tight hamstrings in younger patients
- Stooped posture with flexion at hip and knees with waddling gait.
- SLR may be positive

## Imaging

#### X-rays:

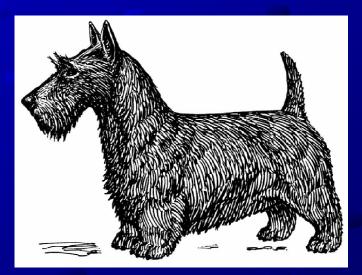
- 1. Lateral flex./ext.
- 2. Oblique
  - Integrity of the pars "Scotty Dog"



# PARS IS SEEN AS NECK OF SCOTTIE DOG AND DEFECT AS COLLAR OF DOG







#### Scottie Dog

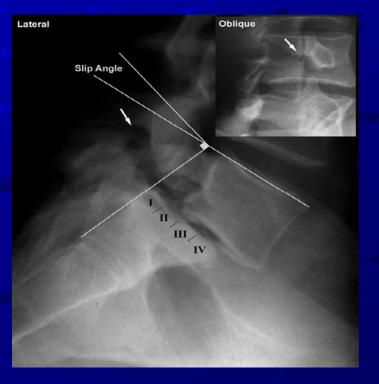
## **Meyerding's Scale**

#### Amount of Subluxation (Taillard)

Grade I Grade II Grade III Grade IV Grade V

<u>Grade</u>

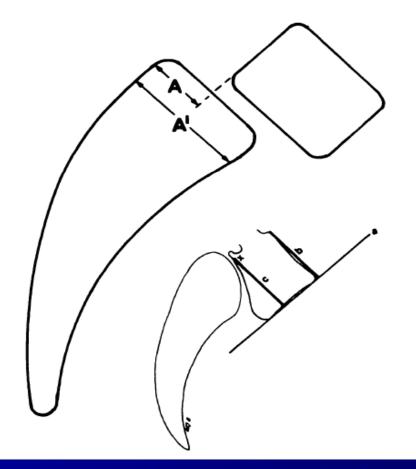
<25% 25-50% 50-75% 75-100% >100% (Spondyloptosis)



Meyerding HW: Spondylolisthesis. Surg Gynecol Obstet 54:371–379, 1932.

# **Anterior Displacement**

**Anterior Displacement** 

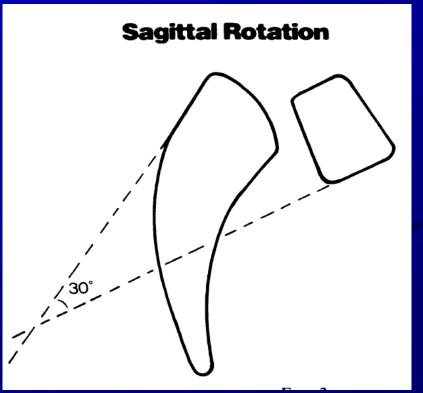


## SAGITTAL ROTATION

 Angle between anterior margin of L5 and post. Margin of S1

Measures rotational listhesis.

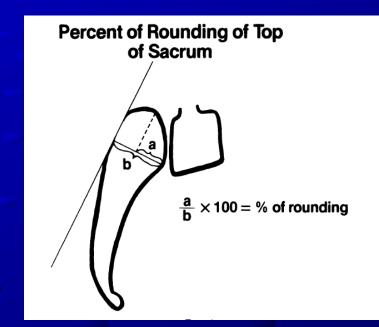
 BEST predictor of instability and progression



LL Wiltse and RB Winter, Terminology and measurement of spondylolisthesis, J Bone Joint Surg Am. 1983;65:768-772.

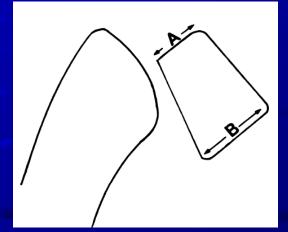
SACRAL ROUNDING: percentage of superior end plate of sacrum deformed, usually at anterior margin.

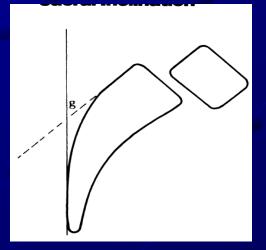
It is sign of instability and represents barrier to reduction



LUMBAR INDEX (Wedging of the Olisthetic Vertebra) - posterior height of body /ant.ht.

SACRAL INCLINATION: angle between vertical and posterior margin of S1.





## Imaging

#### CT scan

- Evaluate bony pathology
- Spondylolysis diagnosed by "incomplete ring" sign (ring of cortical bone should be present on at least one axial cut in normal vertebra with intact arch)
- Best test to diagnose pseudoarthrosis after failed fusion

#### CT myelography:

- Good quality dynamic scans can be obtained.
- Bony anatomy is better defined
- In already instrumented cases



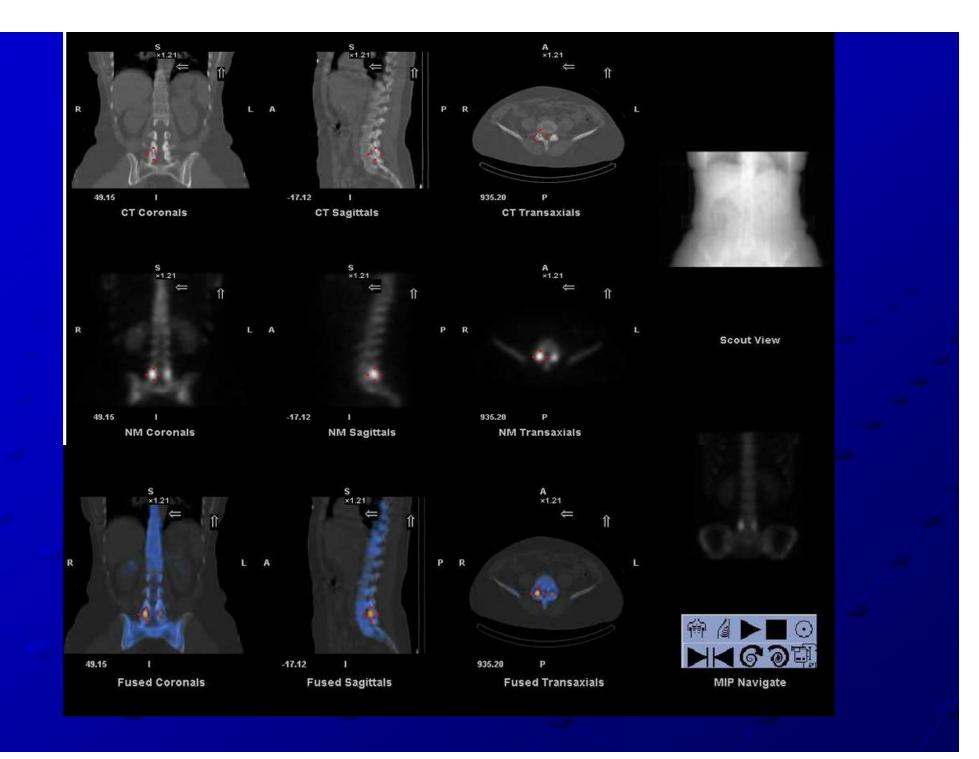
#### MRI: evaluate soft tissue pathology

- Nerve compression
- Spinal compression
- Sagittal image demonstrate pars defect.
- Assess disc herniation



#### SPECT:

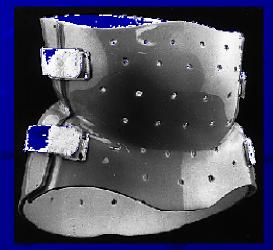
- Inconclusive x-rays despite high clinical suspicion
- May be positive for pars injury that is radiographically inapparent.
- Acute vs. chronic



- NSAIDS
   Weight loss
   Steroid injections

   Acute phase
   Not for long term use

   Bracing
   Physical therapy:
  - Physiotherapy
  - Aerobic conditioning



 1- to 2-day period of rest followed by a short course of antiinflammatory medications
 If symptoms persist beyond 1–2 weeks, physical therapy can be applied.

> Leonid et al, Diagnosis and conservative management of degenerative lumbar spondylolisthesis. Eur Spine J (2008) 17:327–335

Stationary bicycling is an excellent exercise because it promotes

- spine flexion,
- deconstriction of the thecal sac.
- avoid the wear and tear associated with impact aerobic exercise such as running

Vibert BT, Sliva CD, Herkowitz HN (2006) Clin Orthop Relat Res 443:222–227

Swimming, walking, and elliptical machines are other good alternatives for cardiovascular exercise, albeit there is no evidence of their value for DS

Vibert BT, Sliva CD, Herkowitz HN (2006) Treatment of instability and spondylolisthesis: surgical versus nonsurgical treatment. Clin Orthop Relat Res 443:222–227

Opioids and muscle relaxants have not been shown to be more effective than acetaminophen and NSAIDs in well-controlled studies

> van Tulder MW et al,(2000) Nonsteroidal anti-inflammatory drugs for low back pain: Spine 25:2501–2513

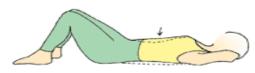
Epidural steroids may offer short-term relief but long-term prospective studies do not show a lasting effect

## Flexion/extension strengthening exercises

		Flexon	Extension
Pain	3 months	27%	67%
	3 yrs	19%	67%
Inability to work	3 months	32%	61%
	3 yrs	24%	61%
Overall recovery rate	3 months	58%	6%
	3 yrs	62%	0%

Sinaki M, Lutness MP, Ilstrup DM, Chu CP, Gramse RR (1989) Lumbar spondylolisthesis: retrospective comparison and three year follow-up of two conservative treatment programs. ArchPhys Med Rehabil 70:594–598

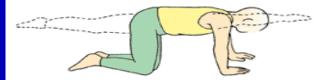
#### Spondyloysis/Spondyloisthesis Exercises



Pelvic tilt



Dead bug



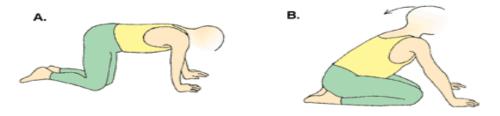
Quadriped arm/leg raises



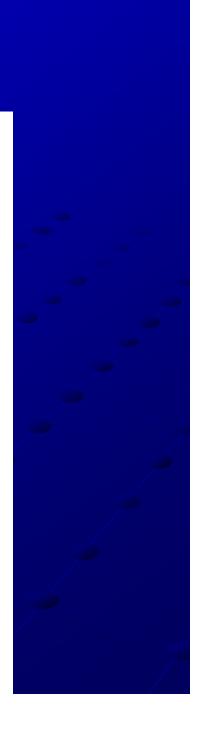
**Piriformis stretch** 



Partial curl



All-fours-to-heels sit



### **Operative Management : Indications**

10-15% will fail conservative therapy (minimum of 3 months)

- Absolute indications:
  - Progressive weakness
  - Cauda Equina-type symptoms

Myelopathy, radiculopathy or neurogenic claudication

Radiographically documented instability

## Surgery vs. Conservative Management

Surgery Versus Conservative Management in Adult Isthmic Spondylolisthesis

A Prospective Randomized Study: Part 1

Hans Möller, MD, and Rune Hedlund, MD, PhD

SPINE Volume 25, Number 13, pp 1711–1715 ©2000, Lippincott Williams & Wilkins, Inc. Table 2. Mean Values of Disability Rating Index andPain Index Before Treatment and at 1- and 2-YearFollow-Up Assessments for the Surgical Group and theExercise Group

	Before $(n = 106)$	1 Yr (n = 98)	2 Yr (n = 106)	Р
Surgery				
DRI	48 (43.9-52.3)	29 (23.0-34.6)	29 (23.5-34.9)	< 0.0001
Pain index	63 (58.5-67.7)	35 (28.7-42.2)	37 (29.6-43.8)	< 0.0001
Exercise				
DRI	44 (38.2-50.3)	45 (36.4-53.7)	44 (36.5-50.9)	0.53
Pain index	65 (57.3-71.9)	54 (44.7-63.7)	56 (48.7-63.8)	0.024

CI = confidence interval, DRI = Disability Rating Index.

The worst possible status is 100, and the best possible status is 0. The *P* value refers to the comparison between the pretreatment score and the score at 2-year follow-up assessment in each group. 95% CI in parentheses.

Functional outcome (pain reduction and DRI) was better in the surgically treated group than in the exercise group at both the 1-year and 2-year follow-up assessments (p<0.01).

### Surgery vs. Conservative Mgmt

Surgery vs. Non-Operative Treatment for Lumbar Degenerative Spondylolisthesis; 4 year result in the Spine Patient Outcome Research Trial (SPORT) Randomized and Observational cohorts

Compared with patients treated non-operatively, patients in whom degenerative spondylolisthesis and associated spinal stenosis are treated surgically maintain substantially greater pain relief and improvement in function for four years

Weinstein et. Al. J Bone Joint Surg Am. 2009 Jun;91(6) 1295-304

## **Surgical Intervention**

- GOALS:
- 1. Stabilization
- 2. Decompression of neural elements
- 3. Both

#### Points to be assessed:

- Operative approach
- Whether to perform decompression or not
- Whether to perform fusion or not
- Whether to perform fixation or not
- What type of fusion and fixation?

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#### Degenerative Lumbar Spondylolisthesis with Spinal Stenosis

A PROSPECTIVE STUDY COMPARING DECOMPRESSION WITH DECOMPRESSION AND INTERTRANSVERSE PROCESS ARTHRODESIS\*†

BY HARRY N. HERKOWITZ, M.D.<sup>‡</sup>, AND LAWRENCE T. KURZ, M.D.<sup>‡</sup>, ROYAL OAK, MICHIGAN

From the Section of Spine Surgery, Department of Orthopaedic Surgery, William Beaumont Hospital, Royal Oak

• 50 pts with a clinical diagnosis of degenerative spondylolisthesis and spinal stenosis who were unresponsive to an adequate course of conservative therapy

• Randomized to decompressive laminectomy (n=25) alone or decompressive laminectomy and bilateral intertransverse process arthrodesis (n=25)

#### Spondylolisthesis increased postoperatively in 96 versus 28 percent

 Markedly improved clinical outcome was found in patient who underwent fusion

Although the pseudoarthrosis rate was 36%, clinical results were good or excellent for all patients who underwent fusion

	TA	BLE I				
DATA ON THE FIFTY PATIENTS						
	Arthrodesis ( $N = 25$ )		No Arthrodesis (N = 25)			
	Preop.	Postop.	Preop.	Postop.		
Result Excellent Good		11 (44%) 13 (52%)		2 (8%) 9 (36%)		
Fair Poor		1 (4%) 0 (0%)		12 (48%) 2 (8%)		
Mean scores for pain (points) Back Lower limbs	3.3 4.3	1.3 1.0	2.9* 4.0*	2.5† 1.7		
Mean height of disc space (mm)	6.8	5.7	7.4	5.8		
Mean olisthesis (mm)	4.8	5.3	5.3	7.9‡		
Mean olisthesis on flexion and extension (mm)	2.8	0.1	3.4	5.8		
Mean vertebral motion (degrees)	9.3	4.2	9.6	12.8‡		

\* The patients who had not had an arthrodesis had significantly more pain in the low back and lower limbs at the most recent follow-up evaluation.

 $\dagger P < 0.01$  (chi-square test).

 $\ddagger P = 0.002$  (Student t test).

### **Fusion: Indications**

Decompression with >= Grade II Spondylolisthesis
 After repeated discectomies
 Unstable (>10 degrees on flex-ex films)
 Established mechanical back pain
 Post-Bilateral facetectomy

Controversial: Decompression with Grade I, suggestive mechanical back pain, unilateral facetectomy

Degenerative Lumbar Spondylolisthesis With Spinal Stenosis: A Prospective, Randomized Study Comparing Decompressive Laminectomy and Arthrodesis With and Without Spinal Instrumentation

Fischgrund, Jeffrey S. MD; Mackay, Michael MD; Herkowitz, Harry N. MD; Brower, Richard MD; Montgomery, David M. MD; Kurz, Lawrence T. MD

Spine. Volume 22(24), 15 December 1997, pp 2807-2812

#### 68 patients randomized to

- Decompression and arthrodesis
- Decompression and arthrodesis and instrumentation
- Instrumentation: segmental transpedicular screws
  - Average follow-up of two years

## Fischgrund et al: Rate of Fusion

	Instrumentation $(N = 35)$		No Instrumentation $(N = 33)$	
	Preoper- ative	Postop- erative	Preoper- ative	Postop- erative
Result				
Excellent		20 (57%)		16 (49%)
Good		7 (21%)		12 (36%)
Fair		4 (12%)		1 (3%)
Poor		4 (12%)		4 (12%)
Mean scores for pain				13 - 18 1
(points)				
Back	4	1	4	2
Lower limbs	4	1	4	1
Mean olisthesis (mm)	8	6	7	7
Mean sagittal motion	3	1	3	2
on flexion and				
extension (mm)				
Mean angulation (°)	9	1	9	5

	Successful Arthrodesis	Pseudarthrosis
Instrumentation	29 (83%)	6 (18%)
No instrumentation	15 (45%)	18 (55%)
Preoperative		
Olisthesis (mm)	8	1
Angulation (°)	8	11
Motion (mm)	3	4
Postoperative		
Olisthesis (mm)	1	1
Angulation (°)	1	8
Motion (mm)	1	3

### Fischgrund et al: Results

- Clinical outcome was excellent or good in 76% of the patients with instrumentation and in 85% of those without instrumentation (P = 0.45)
- Successful arthrodesis occurred in 82% of the instrumented cases versus 45% of the non instrumented cases (P = 0.0015)
- In patients undergoing single-level posterolateral fusion for degenerative spondylolisthesis with spinal stenosis, the use of pedicle screws may lead to a higher fusion rate, but clinical outcome shows no improvement in pain in the back and lower limbs

#### Degenerative Lumbar Spondylolisthesis With Spinal Stenosis

A Prospective Long-Term Study Comparing Fusion and Pseudarthrosis

Martin B. Kornblum, MD,\* Jeffrey S. Fischgrund, MD,† Harry N. Herkowitz, MD,† David A. Abraham, MD,‡ David L. Berkower, DO,§ and Jeff S. Ditkoff

SPINE Volume 29, Number 7, pp 726–734 ©2004, Lippincott Williams & Wilkins, Inc.

- 47 patients prospectively studied
- Underwent Posterior decompression and bilateral posterolateral arthrodesis with autogenous bone graft.
- Follow up from 5 to 14 years: fusion or pseudoarthrosis, clinical analysis
- Clinical outcome was excellent to good in 86% of patients with a solid arthrodesis and 56% in patients with a pseudoarthrosis (p=0.01)

#### Surgery for Degenerative Lumbar Spondylosis: Updated Cochrane Review

J.N. Alastair Gibson, MD, FRCS, and Gordon Waddell, DSc, MD, FRCS

SPINE Volume 30, Number 20, pp 2312–2320 ©2005, Lippincott Williams & Wilkins, Inc.

#### 31 RCTs identified

- Conflicting evidence of clinical effectiveness of fusion
- 8 trials addressed whether instrumentation improves the outcome of posterolateral fusion
- There is moderate evidence that instrumentation improves the fusion rate
- There is conflicting evidence that instrumentation improves clinical outcomes

#### Review: Surgery for degenerative lumbar spondylosis

Comparison: INSTRUMENTED POSTEROLATERAL FUSION vs GRAFT ONLY (mixed disease)

Outcome:

Fusion at 2 yrs

Study or sub-category	Instrumented n/N	Graft only n/N	OR (random) 95% Cl	Weight %	OR (random) 95% Cl
Bridwell 1993	21/24	3/10		→ 8.66	16.33 [2.66, 100.26]
McGuire 1993	10/13	10/14	<b>•</b>	- 9.07	1.33 [0.24, 7.56]
Zdeblick 1993	62/72	33/51	— <b>•</b>	- 14.47	3.38 [1.40, 8.16]
Fischgrund 1997	29/35	15/33		→ 12.87	5.80 [1.90, 17.68]
Thomsen 1997	42/62	54/64	<b>e</b>	14.62	0.39 [0.16, 0.92]
France 1999	22/29	18/28	<b>-</b>	12.63	1.75 [0.55, 5.51]
Moller 2000	29/37	24/37	2. <b></b> .	13.43	1.96 [0.70, 5.52]
Fritzell 2001	54/62	48/67		- 14.25	2.67 [1.07, 6.66]
Total (95% CI)	334	304	-	100.00	2.30 [1.10, 4.80]
Total events: 269 (Instrumer	nted), 205 (Graft only)		10. Sec. 4. A		
Test for heterogeneity: Chi <sup>2</sup>	= 24.62, df = 7 (P = 0.0009), P =	71.6%			
Test for overall effect Z = 2	2 22 (P = 0.03)				
			0.1 0.2 0.5 1 2 5 Favours graft only Favours instru	10 mented	

Figure 1. Instrumented posterolateral fusion versus graft only: a likelihood of fusion.

Review: Comperison: Outcome:	Comparison: INSTRUMENTED POSTEROLATERAL FUSION vs GRAFT ONLY (mixed disease)					
Study or sub-category	instrumented n.N	Graft only n.N	OR (random) 95% Cl	Weight %	OR (random) 95% Cl	
Bridwell 1993	20/24	3/10		7.24	11.67 [2.08, 65.59]	
McGuire 1993	10/13	7/14		7.64	3.33 [0.63, 17.57]	
Zdeblick 1993	67/72	36/51		→ 12.81	5.58 [1.88, 16.61]	
Fischgrund 199	27/35	28/33		11.18	0.60 [0.18, 2.07]	
Thomsen 1997	52/63	49/66	+	15.97	1.64 [0.70, 3.85]	
France 1999	21/37	18/33		14.67	1.09 [0.43, 2.81]	
Moller 2000	31/37	25/38		12.67	2.69 [0.89, 8.08]	
Fritzell 2001	41/60	40/67	+	17.82	1.46 [0.70, 3.03]	
Total (95% CI)	341	312	-	100.00	2.05 [1.19, 3.54]	
Total events: 269 (Instrumented), 206 (Graft only)						
Test for heterogeneity: ChF = 14.07, df = 7 (P = 0.05), F = 50.3%						
Test for overall e	effect: Z = 2.58 (P = 0.010)					
			0.1 0.2 0.5 1 2 5	10		
			Favours graft only Favours instr	umented		

Figure 2. Instrumented posterolateral fusion versus graft only: a likelihood of good outcome.

### The Effect of Pedicle Screw Instrumentation on Functional Outcome and Fusion Rates in Posterolateral Lumbar Spinal Fusion: A Prospective, Randomized Clinical Study

Thomsen, Karsten MD, DMSc; Christensen, Finn B. MD; Eiskjær, Søren P. MD; Hansen, Ebbe S. MD; Fruensgaard, Søren MD†; Bünger, Cody E. MD

Spine. Volume 22(24), 15 December 1997, pp 2813-2822

Significantly better (P < 0.05) functional outcome in relation to daily activities in the instrumented group when neural decompression had been performed.</p>

Global patients' satisfaction was 82% in the instrumented group versus 74% in the non-instrumented group (not significant).

Significant symptoms from misplacement of pedicle screws were seen in 4.8% of the instrumented patients

### Karsten et al: Conclusions

- Lumbar posterolateral fusion with pedicle screw fixation increases the operation time, blood loss, and reoperation rate, and leads to a significant risk of nerve injury.
- A gain in functional outcome was found in the daily activity category in patients with instrumentation and supplementary neural decompression.
- The results of this study do not justify the general use of pedicle screw fixation alone as an adjunct to posterolateral lumbar fusion

# SPORT TRIAL

Degenerative Spondylolisthesis Does Fusion Methods Influence outcome? 4 yr Result of Spine pt Outcome trial (SPINE vol 34,number 21,2009)

PLF Vs PPS Vs PPS + INTERBODY FUSION (360<sup>o</sup>)

At 4 yrs there was no difference in health related quality of life outcomes, satisfaction and bothersome scores.

# **Surgical Management**

Depends upon
 Symptoms
 Grade
 Etiology

Decision to operate depends upon symptoms and which operation to perform depends upon other two factors

### Management

For management pts can be divided into 3 group
Group I – children and adolescents
Group II – adults
Group III – age > 40 years
Groups further subdivided into
A – low grade (1,II)
B – high grade (III,IV and V)

### Group I - A

No symptoms – follow up
 symptomatic

 Conservative management
 Slip < 25% - no need to restrict activity</li>
 Slip > 25%

 Surgical management

 Posterolateral fusion

### Group I - B

Indications

 Slip > 50% in symptomatic and asymptomatic pts

Progression of symptoms

- Slippage of less then 50% single level fusion
- Slip > 50% extend fusion to one level above
- Isolated decompression without fusion is contraindicated in children
- Role of instrumentation is controversial

# Group II - A

Surgery when conservative management fails
 Fusion with or without decompression
 Only decompression not recommended
 Fusion

 Posterolateral intertransverse fusion (PLF)
 Anterior Lumbar interbody fusion (ALIF)
 instrumentation ????

### Group II - B

No symptoms and slip is more – conservative ( as chances of progression are low in adults and they have a sagitally balanced deformity )

### Fusion with instrumentation is recommended

- PLIF
- TLIF
- ALIF
- Reduction ???
  - When there is significant sagittal imbalance
  - Partial reduction is sufficient
  - Partial reduction of slip angle is more important than translation reduction.

# Group III – A and B

Degenerative spondylolisthesis
 Rarely exceeds grade I and II
 Surgery

 Decompression
 Fusion
 Instrumentation

### Group III – A and B

### Indications of fusion and instrumentation

### Preoperative factors

- Disc height preoperative disc height > 2 mm
- Degree of kyphosis (N saggital angle at L4-L5 is 8 to 17 degree
- Degree of instability 5 mm in flexion- extension x rays
- Degree of listhesis > 50%
- Previous laminectomy
- Adjacent segment disease

# Intraoperative factors Extent of decompression >50% facet excision on each side Total facet excision on any side Discectomy + partial removal of the facets Correction of listhesis Available bone stock

### Type and extent of instrumentation

Posterior pedicle screw only Adequate in most cases Unilateral is as good as bilateral Multi-level fusion then bilateral screws to be placed **Interbody fusion** • Correction of listhesis sqrade II slip Recurrent cases

## **AIIMS Data**

Pedicel screw fixation in lumbar spondylolisthesis – 5 year retrospective study – 2007 Total patients – 30 Degenerative spondylolisthesis **46.7%** Mean age – 44.5 yrs L4 – L5 level – 64.2% cases 57% - women Isthmic – 36.7%, mean age – 37 yrs and 54% were women and L5S1 level was most common(63.6%)

# **AIIMS Data**

### Symptoms

- Back ache 76%
- Radicular symptoms 80%
- Neurogenic claudication 36%
- Bowel/bladder 10%
- Mean duration of surgery was 5 hrs and blood loss was 950ml
- Symptomatic relief in almost all patients with backache and radicular pain
- Dural tear 20% with 6.7% having CSF leak
- Malpositioning of the screw 10% and implant failure in 2.5%
- Follow up range 2-36 months

# Thanks ....